

#### NATURAL HEALTH PRODUCT

#### PLANT STEROL ESTERS

This monograph is intended to serve as a guide to industry for the preparation of Product Licence Applications (PLAs) and labels for natural health product market authorization. It is not intended to be a comprehensive review of the medicinal ingredient.

#### **Notes**

- Text in parentheses is additional optional information which can be included on the PLA and product label at the applicant's discretion.
- The solidus (/) indicates that the terms and/or statements are synonymous. Either term or statement may be selected by the applicant.

**Date** 

February 23, 2024

# Proper name(s), Common name(s), Source information

Table 1. Proper name(s), Common name(s), Source information

Proper name(s)	Common name(s)	Source information	
		Source material(s)	Part(s)
Plant sterol esters	Plant sterol esters	<ul><li> Glycine max</li><li> Gossypium herbaceum</li></ul>	Seed
		<ul> <li>Arachis hypogaea</li> <li>Brassica napus</li> <li>Olea europaea</li> <li>Secale cereale</li> <li>Triticum aestivum</li> <li>Zea mays</li> </ul>	Whole plant

References: Proper name: FDA 2023, EC 2002, FDA 2001; Common name: FDA 2023, EC 2002, FDA 2001; Source information: USDA 2023, EC 2002, Kerckhoffs et al. 2002, FDA 2001.

#### **Route of administration**

Oral (FDA 2023; de Jong et al. 2008; Chen et al. 2005; Davidson et al. 2001; FDA 2001; Clifton et al. 2004; Hendriks et al. 2003; Hendriks et al. 1999; Westrate and Meijer 1998)

### Dosage form(s)

This monograph excludes foods or food-like dosage forms as indicated in the Compendium of Monographs Guidance Document.



Acceptable dosage forms for oral use are indicated in the dosage form drop-down list of the web-based Product Licence Application form for Compendial applications.

# Use(s) or Purpose(s)

- Helps lower blood total and low density lipoprotein (LDL) cholesterol (FDA 2023; de Jong et al. 2008; Chen et al. 2005; Clifton et al. 2004; Hendriks et al. 2003; Davidson et al. 2001; FDA 2001; Hendriks et al. 1999, Westrate and Meijer 1998).
- Helps lower bad cholesterol (FDA 2023; de Jong et al. 2008; Chen et al. 2005; Clifton et al. 2004; Hendriks et al. 2003; Davidson et al. 2001; FDA 2001; Hendriks et al. 1999, Westrate and Meijer 1998).
- Helps maintain healthy cholesterol levels (FDA 2023; de Jong et al. 2008; Chen et al. 2005; Clifton et al. 2004; Hendriks et al. 2003; Davidson et al. 2001; FDA 2001; Hendriks et al. 1999, Westrate and Meijer 1998).

**Note:** The above uses can be combined on the product label (e.g., Helps lower blood total and low density lipoprotein (LDL) cholesterol and maintain healthy cholesterol levels).

### Dose(s)

# Subpopulation(s)

Adults 18 years and older

### Quantity(ies)

0.8 – 4.8 grams of Plant sterol esters per day, including at least 80 % of Combined beta-Sitosterol, Campesterol and Stigmasterol, per day (FDA 2023; de Jong et al. 2008; Chen et al. 2005; Clifton et al. 2004; Hendriks et al. 2003; EC 2002; Kerckhoffs et al. 2002; Davidson et al. 2001; FDA 2001; Hendriks et al. 1999; Westrate and Meijer 1998).

### Direction(s) for use

Take with food (FDA 2023; de Jong et al. 2008; Chen et al. 2005; Clifton et al. 2004; Hendriks et al. 2003; Davidson et al. 2001; FDA 2001; Hendriks et al. 1999; Westrate and Meijer 1998).

### **Duration(s) of use**

No statement required.





#### **Risk information**

# Caution(s) and warning(s)

Ask a healthcare practitioner/health care provider/health care professional/doctor/physician before use if you are pregnant or breastfeeding.

# Contraindication(s)

No statement required.

### **Known adverse reaction(s)**

No statement required.

### Non-medicinal ingredients

Must be chosen from the current Natural Health Products Ingredients Database (NHPID) and must meet the limitations outlined in the database.

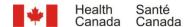
# **Storage conditions**

Must be established in accordance with the requirements described in the *Natural Health Products Regulations*.

# **Specifications**

- The finished product specifications must be established in accordance with the requirements described in the Natural and Non-prescription Health Products Directorate (NNHPD) Quality of Natural Health Products Guide.
- The medicinal ingredient must comply with the requirements outlined in the NHPID.





#### **EXAMPLE OF PRODUCT FACTS:**

Consult the Guidance Document, Labelling of Natural Health Products for more details.

<b>Product Facts</b>			
Medicinal ingredients in each scoop			
Plant sterol esters (Glycine max – seed)			

XX g

XX % of combined beta-Sitosterol, Campesterol and Stigmasterol

#### Uses

- Helps lower blood total and low density lipoprotein (LDL) cholesterol.
- Helps lower bad cholesterol.
- Helps maintain healthy cholesterol levels

# Warnings

### If applicable<sup>1</sup>:

Allergens: food allergen, gluten (gluten source), sulphites

**Contains aspartame** 

Ask a health care practitioner before use if you are pregnant or breastfeeding.

### **Directions**

Adults 18 years and older: • Take X scoop(s), X time(s) a day • Take with food.

#### Other information

(Add storage information)

### **Non-medicinal ingredients**

List all NMIs

Questions? (Call) 1-XXX-XXX-XXXX

#### References cited

Chen JT, Wesley R, Shamburek RD, Pucino F, Csako G. 2005. Meta-Analysis of Natural Therapies for Hyperlipidemia Plant Sterols and Stanols versus Policosanol for Hyperlipidemia. Pharmacotherapy. 25: 171-183.

Clifton PM, Noakes M, Sullivan D, Erichsen N, Ross D, Annison G, Fassoulakis A, Cehun M, Nestel P. 2004. Cholesterol-lowering effects of plant sterol esters differ in milk, yoghurt, bread and cereal. European journal of clinical nutrition. 58: 503-509.

Davidson, MH, Maki KC, Umporowicz DM, Ingram KA, Dicklin MR, Schaefer E, Lane RW, McNamara JR, Ribaya-Mercado JD, Perrone G, Robins SJ, Franke WC. Safety and Tolerability of Esterified Phytosterols Administered in Reduced-Fat Spread and Salad Dressing to Healthy Adult Men and Women. 2001 Journal of the American College of Nutrition. 20: 307-319.

de Jong A, Plat J, Bast A, Godschalk RW, Basu S, Mensink RP. Eur J Clin Nutr. Effects of plant sterol and stanol ester consumption on lipid metabolism, antioxidant status and markers of oxidative stress, endothelial function and low-grade inflammation in patients on current statin treatment. 2008 Feb;62(2):263-273



<sup>&</sup>lt;sup>1</sup>This section can be removed from the table if the product contains no allergen or aspartame.



EC 2002: European Commission. General view of the Scientific Committee on Food on the long-term effects of the intake of elevated levels of phytosterols from multiple dietary sources, with particular attention to the effects on  $\beta$ -carotene. Health & Consumer Protection Directorate-General. [Accessed 2024 February 3]. Available from : https://food.ec.europa.eu/system/files/2020-12/sci-com scf out143 en.pdf

FDA 2001: Food and Drug Administration, Department of Health and Human Services. Food labeling: health claims; plant sterol/stanol esters and coronary heart disease. Interim final rule; notice of extension of period for issuance of final rule. Federal Register. 66(109):30311-30313.

FDA 2023: Food and Drug Administration. § 101.83 Health claims: plant sterol/stanol esters and risk of coronary heart disease (CHD). [Accessed 2024 February 2]. Available from: https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfcfr/cfrsearch.cfm?fr=101.83

Hendriks HF, Brink EJ, Meijer GW, Princen HM, Ntanios FY. 2003. Safety of long-term consumption of plant sterol esters-enriched spread. European journal of clinical nutrition. 57: 681-692.

Hendriks HFJ, Weststrate JA, Vliet T, Meijer GW. 1999. Spreads enriched with three different levels of vegetable oil sterols and the degree of cholesterol lowering in normocholesterolaemic and mildly hypercholesterolaemic subjects. European journal of clinical nutrition. 53:319-327.

Kerckhoffs DA, Brouns F, Hornstra G, Mensink RP. Effects on the human serum lipoprotein profile of beta-glucan, soy protein and isoflavones, plant sterols and stanols, garlic and tocotrienols. J Nutr. 2002 Sep;132(9):2494-2505.

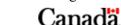
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Westrate JA, Meijer GW. 1998. Plant sterol-enriched margarines and reduction of plasma total-and LDL-cholesterol concentrations in normocholesterolaemic and mildly hypercholesterolaemic subjects. European journal of clinical nutrition. 52:334-343.

#### References reviewed

Goldberg AC, Ostlund RE Jr, Bateman JH, Schimmoeller L, McPherson TB, Spilburg CA. Effect of plant stanol tablets on low-density lipoprotein cholesterol lowering in patients on statin drugs. Am J Cardiol. 2006 Feb 1;97(3):376-379.

Hallikainen M, Lyyra-Laitinen T, Laitinen T, Moilanen L, Miettinen TA, Gylling H. Effects of plant stanol esters on serum cholesterol concentrations, relative markers of cholesterol metabolism and endothelial function in type 1 diabetes. Atherosclerosis. 2008 Aug;199(2):432-439.





Lau VW, Journoud M, Jones PJ. Plant sterols are efficacious in lowering plasma LDL and non-HDL cholesterol in hypercholesterolemic type 2 diabetic and nondiabetic persons. American Journal of Clinical Nutrition. 2005;81:1351-1358.

Thomsen AB, Hansen HB, Christiansen C, Green H, Berger A. Effect of free plant sterols in low-fat milk on serum lipid profile in hypercholesterolemic subjects. Eur J Clin Nutr. 2004 Jun;58(6):860-870.

